

Tracy Barker (Ethics)

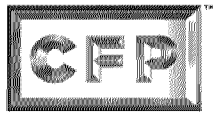
From: Rich, Mike <mikerich@mypontchartrain.com>
Sent: Monday, November 22, 2021 9:59 AM
To: Tracy Barker (Ethics)
Subject: Ethics Board Docket No. 2021-847

EXTERNAL EMAIL: Please do not click on links or attachments unless you know the content is safe.

I am responding to your letter dated November 9, 2021 regarding your request for additional information.

1. Please provide whether Troy Ingram is a W-2 employee of the St. Tammany Fire Protection District No. 1, or a 1099 contract employee. **Mr. Ingram is a contract employee of the Fire District.**
2. Do you earn a commission from the services you render advising on Mr. Ingram's retirement account? If you do not receive a commission, is any part of your compensation based on the services you render in connection with advising on his retirement account? **I do not earn a commission. Instead, as with nearly all of my clients, I charge an annual fee as a percentage of assets under management.**
3. If you were not the advisor on Mr. Ingram's retirement account, would you still earn any commission or other compensation related to the performance of Mr. Ingram's retirement account? **No.**

Mike Rich, CFP®
LPL Financial Advisor



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